



# ANNUAL PERFORMANCE PLAN AND BUDGET PRESENTATION TO THE PORTFOLIO COMMITTEE ON BASIC EDUCATION

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**Annual  
Performance  
Plan 2018/19**



# Presentation Outline

- **PART A: APP TARGETS**
- **PART B: BUDGET 2018/19 – 2020/21**

# Presentation Outline

- **PART A: APP TARGETS**

- Role of Umalusi
- Mandate summarised
- Updated Situational Analysis
  - Performance Delivery Environment
  - Organisational Environment
- Revisions to Legislative and Other Mandates
- Programme Purpose, Objectives, Performance Indicators and Targets

# Role of Umalusi

- Umalusi is the quality Council responsible for qualifications registered on the general and further education and training qualifications sub-framework (GFETQSF) on the National Qualifications Framework (NQF).
- The Council ensures that the providers of education and training have the capacity to deliver and assess qualifications and learning programmes and are doing so to expected standards of quality.

# Mandate summarised

- Umalusi's mandate is determined by two Acts namely:
  - The National Qualifications Framework (NQF) Act No 67 of 2008; and
  - The General and Further Education and Training Quality Assurance (GENFETQA) Act No 58 of 2001 amended in 2008.

# Mandate summarised

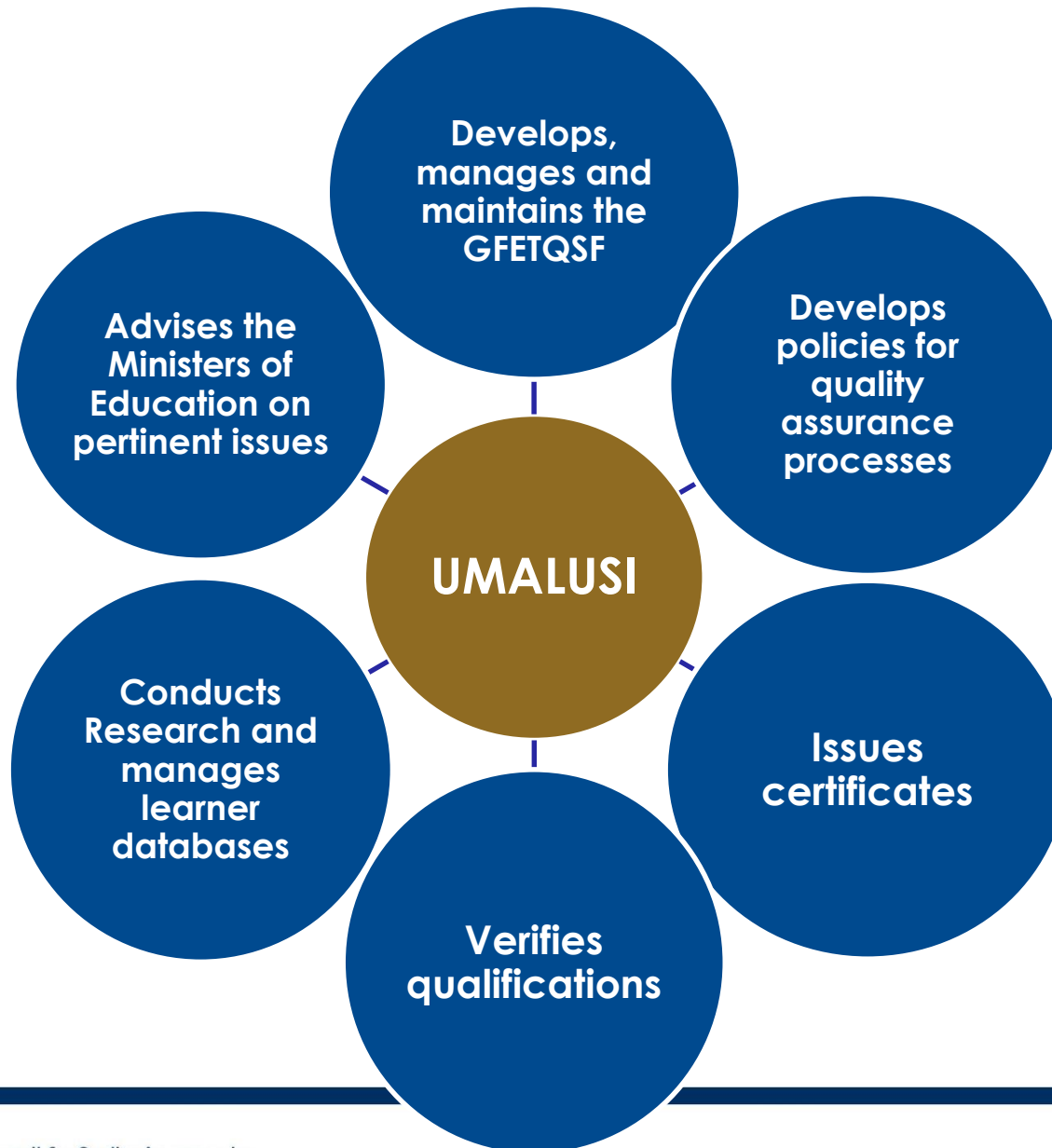
- Develop and manage a sub-framework of qualifications in collaboration with SAQA and the other two Quality Councils (QCs) supported by the necessary quality assurance policies and processes.
- Develop and implement the necessary quality assurance policies in respect of quality assurance of provision.
- Maintain a database of learner achievements and related matters; and submit such data in a format determined in consultation with the SAQA for recording on the national learners' records database.

# Mandate summarised

- Commission and publish research related to the development and implementation of the sub-framework of qualifications.
- Formalisation of relationships which include:
  - advice to the relevant Minister on matters relating to the GFET sub-framework of qualifications;
  - collaboration with the SAQA and other QCs in terms of the NQF; and
  - advocacy of the sub-framework and its qualifications.

# Updated Situational Analysis

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# Performance Delivery Environment

- Demand for quality education (NDP, MTSF):  
**[Page 7]**
  - Umalusi advises on policy relating to the management of the GFETQSF
  - The focus is on maintaining quality in all qualifications in the GFETQSF
  - Umalusi is ever seeking new ways to improve the quality of the qualifications through benchmarking

# Performance Delivery Environment...

- Three-stream model: **[Page 8]**
  - The model will have implications for the GFET Sub-framework
  - Umalusi foresees the need for alignment with:
    - the vocational occupational programmes and technical programmes offered in the TVET colleges
    - the National Certificate (Vocational)

# Organisational Environment...

## [Page 8]

### HRM&D EE targets

- Females are 15% above the EE target **(Page 9)**
- Males are 15% below EE target especially at lower levels

### Vacancy Rate

- 24% in March 2016 **(Page 9)**
- 
- 5% in December 2017

# Organisational Environment...

## ICT

- Compliance with the ICT Governance Framework (83%)
- Infrastructure health: 99%
- Automation of business processes
- **(page 10)**

## F&SCM

- Compliance with legislation
- Payment for services within 30 days
- Strengthened management Capacity
- **(page 10)**

## Office Space

- Shortage of office space
- Renting a portion of a building or a temporary structure
- **(Page 9)**

# Organisational Environment...

## Corporate Governance

- Risk management profile has improved
- Audit findings addressed have increased, while those unaddressed have decreased
- Gradual improvement of performance targets achieved year on year **(Page 11)**

## Managing the Sub-Framework

- More independent schools were accredited and provisionally accredited in 2016 than in the previous years
- The numbers have doubled **(Page 12)**

# Revisions to Legislative and Other Mandates [Page14]

- **Acts**

- The DHET is in the process of reviewing the National Qualifications Framework.

- **Policies**

- Policy on the Quality Assurance of Assessment of Qualifications on the GFETQSF.
- Policy and criteria for assessment of qualifications on the GFETQSF.
- The Policy for the Quality Assurance of Private Adult Learning Centres, Private Further Education and Training Colleges and the Accreditation of Private Assessment Bodies

# Regulations

## [Page 15]

- Regulations pertaining to standards, standard setting and quality assurance of qualifications on the General and Further Education and Training Qualifications Sub-framework.
- Regulations for the Quality Assurance of Private Colleges for Continuing Education and Training, offering qualifications registered on the GFETQSF, and the Accreditation of Private Assessment Bodies.

# Relevant Court Rulings

## [Page 15]

- The Accelerated Christian Education South Africa (ACE) / School of Tomorrow (SoT) vs the South African Qualifications Authority (SAQA)
- The ruling states that the last date on which ACE may issue its certificates under registration number 17209, and the last date for achievement, is 30 June 2019.



# Programme 1: Administration [Page 19]

## Programme Purpose:

Provide strategic leadership, management and administrative support services to the entity.

## Strategic Objective 1:

To improve the effectiveness of Corporate Governance.

# Programme 1...

Programme 1 covers the following sub-programmes:

- Governance and Office of the Chief Executive Officer (GOCEO)
- Public Relations and Communications (PR and Comms.)
- Information Communication Technology (ICT)
- Finance and Supply Chain Management (F&SCM)
- Human Resource Management and Development (HRM&D)

# Programme 1...[Page 26]

Indicator	2018/19	2019/20	2020/21
<b>1.1.1:</b> Annual Performance Plan approved by 31 March.	2018/19 APP approved by March 2019	2019/20 APP approved by March 2020	2020/21 APP approved by March 2021
<b>1.1.2:</b> Quarterly reports submitted to the NT and DBE 30 days after end of quarter	4 quarterly reports submitted to the NT and DBE 30 days after end of quarter	4 quarterly reports submitted to the NT and DBE 30 days after end of quarter	4 quarterly reports submitted to the NT and DBE 30 days after end of quarter

# Programme 1...

Indicator	2018/19	2019/20	2020/21
<b>1.1.3:</b> Number of communication platforms used to communicate to stakeholder clusters within the GFET sub-framework to access information	7	7	7
<b>1.1.4:</b> Percentage compliance against the requirements of the ICT Governance Framework	92%	95%	100%
<b>1.1.5:</b> Average percentage of performance agreements and assessment reports submitted on time	90%	95%	100%
<b>1.1.6:</b> Percentage of service providers paid within 30 days	99%	99%	99%

# Programme 2: Qualifications and Research [Page 29]

## Programme Purpose:

To develop and manage an efficient and effective General and Further Education and Training Qualifications Sub-framework within the NQF and to undertake strategic research in support of that goal.

## Strategic Objective 2.1:

To effectively manage the General and Further Education and Training Qualifications Sub-Framework.

## Strategic Objective 2.2:

Conduct research on an annual basis to inform strategy.

# Programme 2...

Programme 2 covers the following sub-programmes:

## A. Qualifications, Curriculum and Certification

- The role of QCC is to ensure and enhance the status and quality of the sub-framework of qualifications which Umalusi develops, manages and reviews;
- Evaluating curricula to ensure that these are of acceptable quality;
- The certification of school learner and adult student performance for all the qualifications on the general and further education and training sub-framework; and
- Verifying all qualifications that it and its predecessor, SAFCERT have issued since 1992.

# Programme 2...

## B. Statistical Information and Research

Umalusi conducts research and analysis and reports on quality within the general and further education and training sub-framework. The mandate is to:

- Conduct research that is informed by the emerging needs of the education system to engage stakeholders towards innovative thinking;
- Report on the key indicators of quality and standards in general and further education and training;
- Establish and maintain databases; and
- Lead research and analysis and provide statistical support and information across Umalusi.

# Programme 2...[Page 32]

Indicator	2018/19	2019/20	2020/21
<b>2.1.1:</b> Number of reports produced on the management of qualifications in the sub-framework	3	2	2
<b>2.1.2:</b> Number of curricula evaluated annually	2	2	2
<b>2.1.3:</b> Percentage of datasets processed and feedback provided within the turnaround time of 21 working days	100%	100%	100%



# Programme 2...

Indicator	2018/19	2019/20	2020/21
<b>2.1.4:</b> Percentage of error-free learner records for which a certificate is printed, annually	100%	100%	100%
<b>2.1.5:</b> Percentage of verification requests received that are completed within the service level agreement (two working days)	95%	95%	95%
<b>2.2.1:</b> Number of research reports completed in various formats	8	3	3

# Programme 3: Quality Assurance and Monitoring

[Page35]

## Programme Purpose

To ensure that the providers of education and training have the capacity to deliver and assess qualifications registered on the GFETQSF and are doing so to the expected standards and quality.

## Strategic Objective 3.1:

To ensure the credibility of the examination results of the qualifications registered on the GFETQSF.

## Strategic Objective 3.2:

To quality assure the private provisioning and assessment of the qualifications registered on the GFETQSF.

# Programme 3...

Programme 3 comprises of the following sub-programmes:

- A. Quality Assurance of Assessment school qualifications;**
- B. Quality Assurance of Assessment post school qualifications**

This function entails:

- external moderation of question papers;
- external moderation of continuous assessment;
- verification of monitoring of the conduct, administration and management of assessment and examinations processes;
- management of concessions and examination irregularities;
- external moderation of marking; and
- moderation of assessment results.

# Programme 3...

## C. Evaluation and Accreditation

- Standards for provision determined, maintained and strengthened.
- Public and Private assessment bodies will be monitored.
- Quality assurance of provision, through an accreditation and monitoring process of private institutions offering the qualifications Umalusi certifies.
- Evaluates the capacity of private education and training providers to implement registered qualifications for which they seek accreditation.
- Monitors and evaluates the capacity of private providers and assessment bodies to conduct practical, internal and external, assessment of learner achievements that lead to the issuing of registered qualifications by Umalusi.

# Programme 3...[Page 40]

Indicator	2018/19	2019/20	2020/21
<b>3.1.1:</b> Number of quality assurance of assessment reports published for qualifications registered on the GFETQSF	10	10	10
<b>3.1.2:</b> Percentage of question papers submitted that are approved per assessment body per qualification per examination cycle	100%	100%	100%
<b>3.1.3:</b> Number of assessment bodies audited for their state of readiness to conduct examinations	14	14	14

# Programme 3...

Indicator	2018/19	2019/20	2020/21
<b>3.1.4:</b> Number of subjects where verification of marking is conducted per qualification	135	150	165
<b>3.1.5:</b> Number of learning areas/subjects where moderation of SBA/ICASS is conducted and monitored per assessment body per qualification per examination cycle	86	80	84
<b>3.2.1:</b> Percentage of accreditation outcomes for private education institutions finalised within 12 months of the site visit	80%	82%	85%
<b>3.2.2:</b> Percentage of institutions monitored every two years after being granted accreditation	90%	92%	95%

# Presentation outline

## PART B : BUDGET 2018/19 – 2020/21

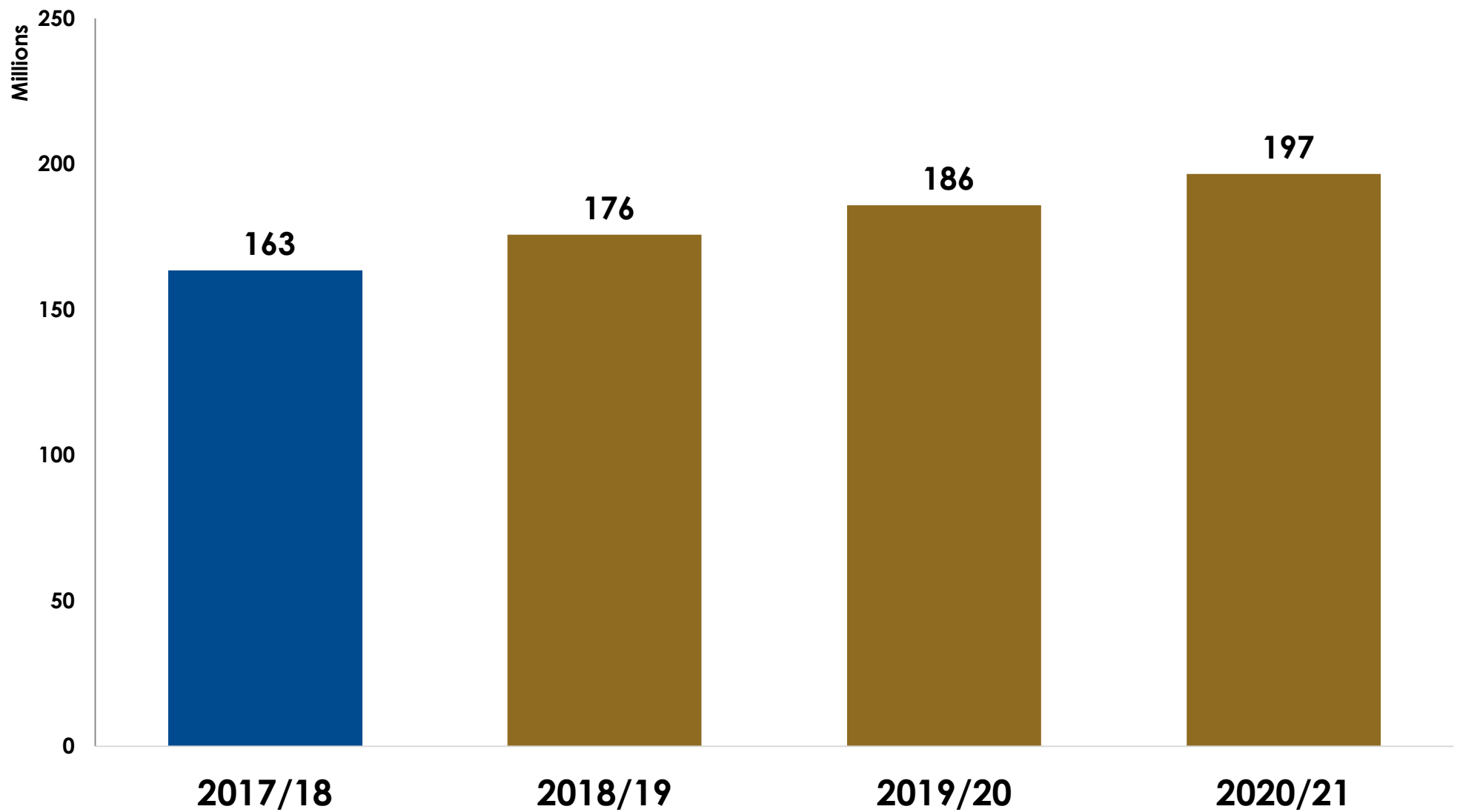
- Budget cut
- Revenue projection
- Revenue estimates
- Expenditure estimates
- Projected surplus 2016/17 & 2017/18
- Expenditure per program
- Growth in human capital
- Reportable matter

# Budget cut

- Budget cut of 2.5% has been implemented over the MTEF period.
- R10.9 million baseline allocation less from DBE.
- The process was run by Presidential Fiscal Committee.
- These reductions were approved by Cabinet.

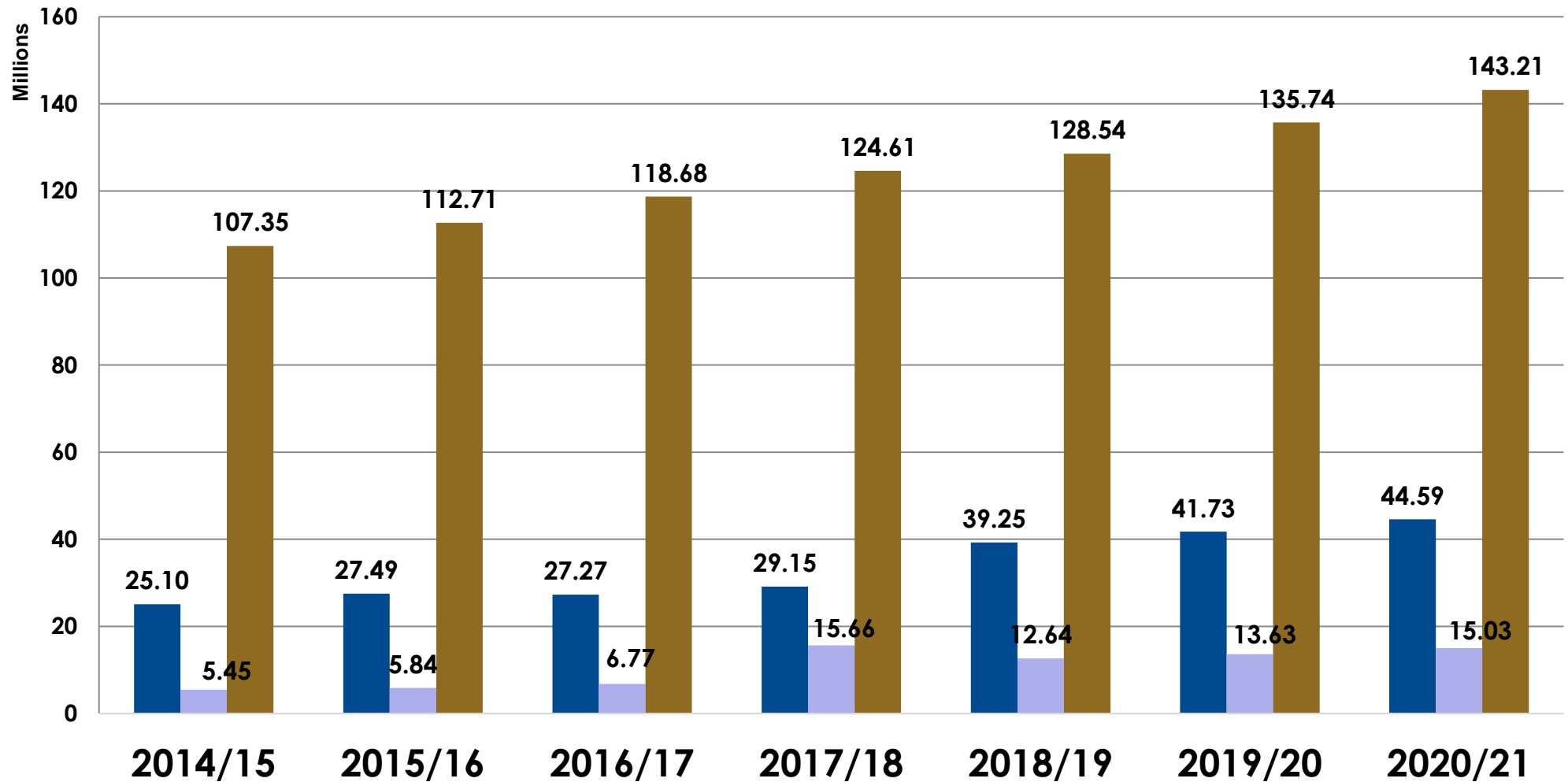


# Revenue projections



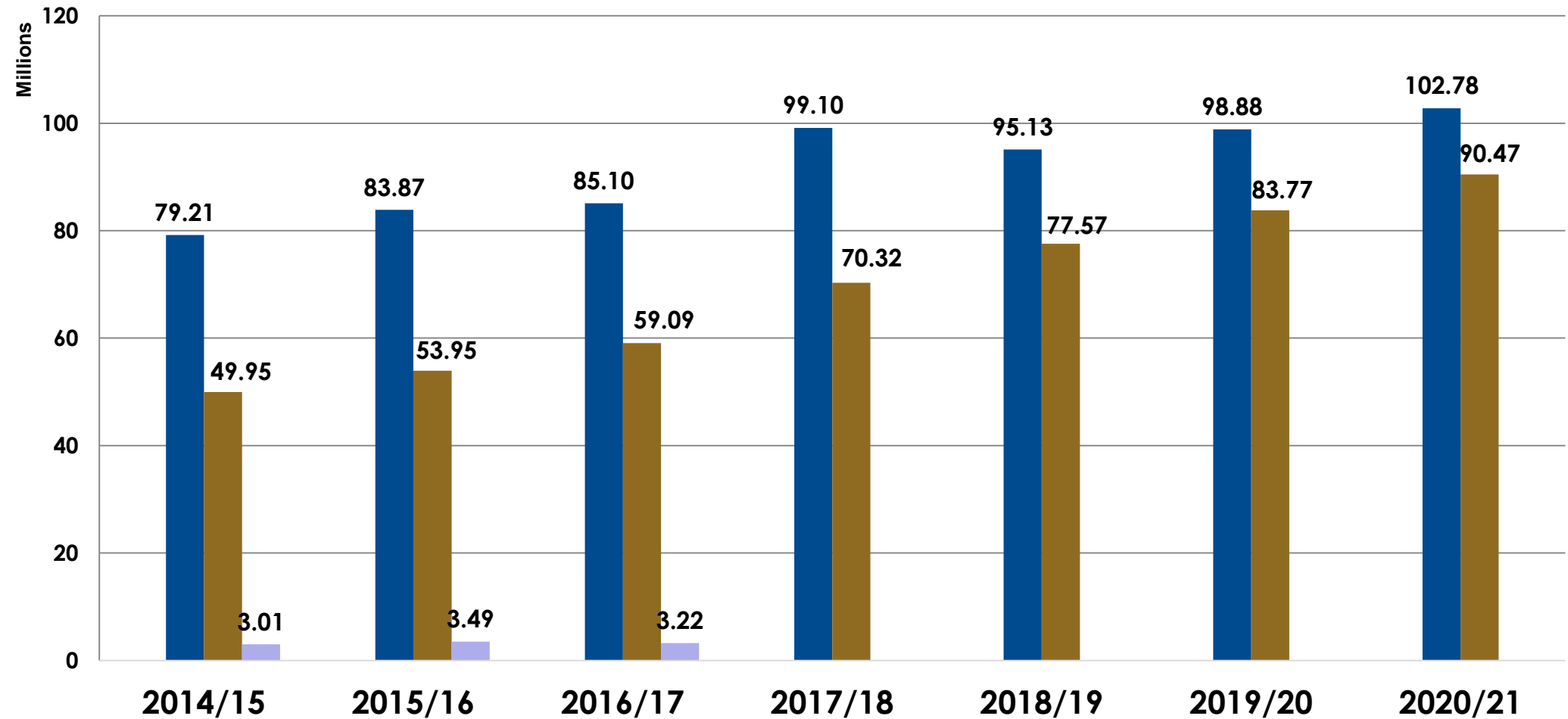
# Revenue estimates

■ Administrative fees   ■ Other non-tax revenue   ■ Transfers received



# Expenditure estimates

■ Goods and services      ■ Compensation of employees      ■ Depreciation



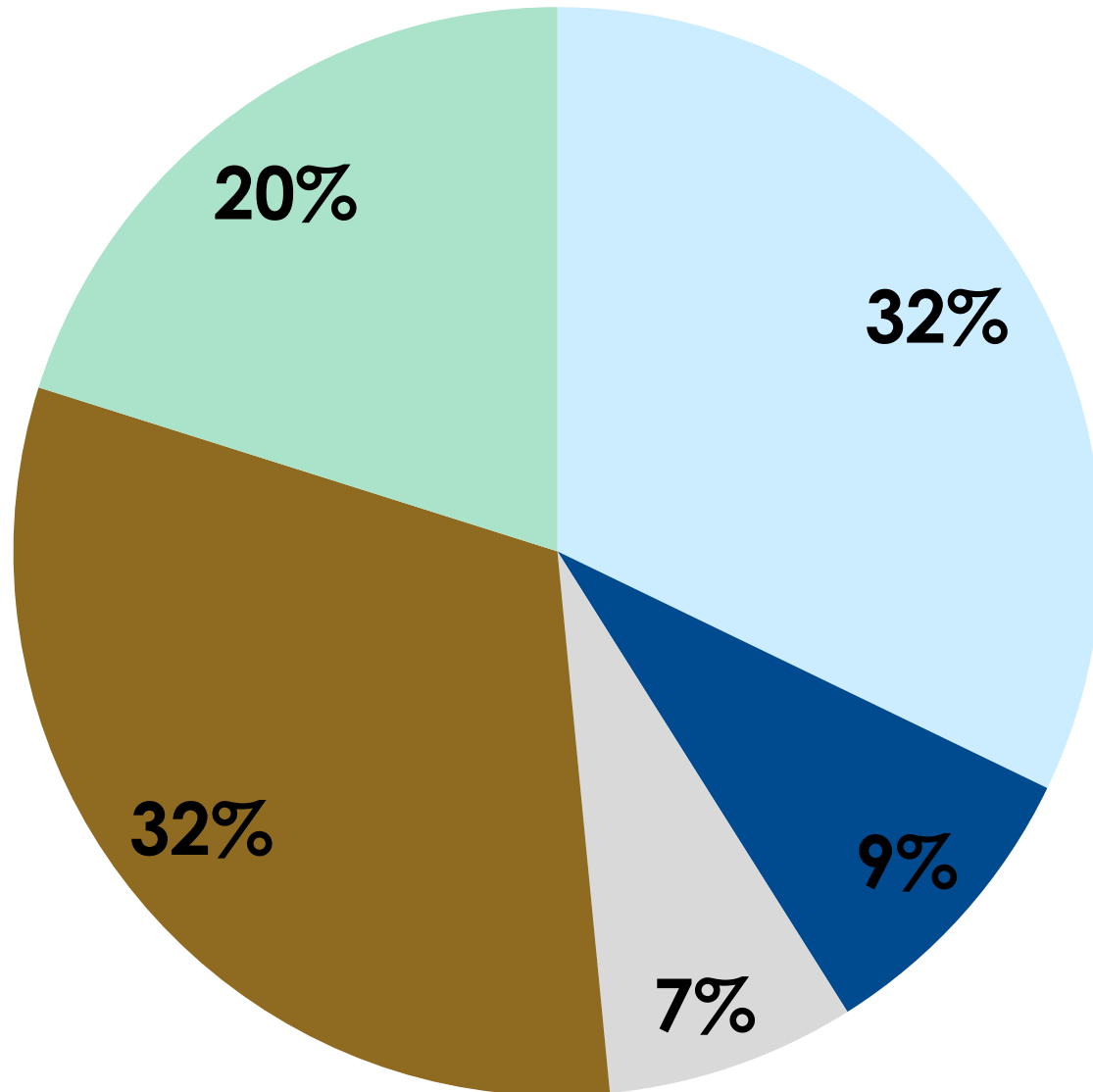
# Transfer from accumulated surplus 2016/17 and 2017/18

- National Treasury and DBE approved Umalusi's request to retain surpluses for the following projects in July 2017:
  - Renovations of purchased building
  - Contingency expenditure
  - Enterprise Content Management system
- A request to retain surpluses will be made to National Treasury.

# Expenditure per program

**Budget 2018/19**

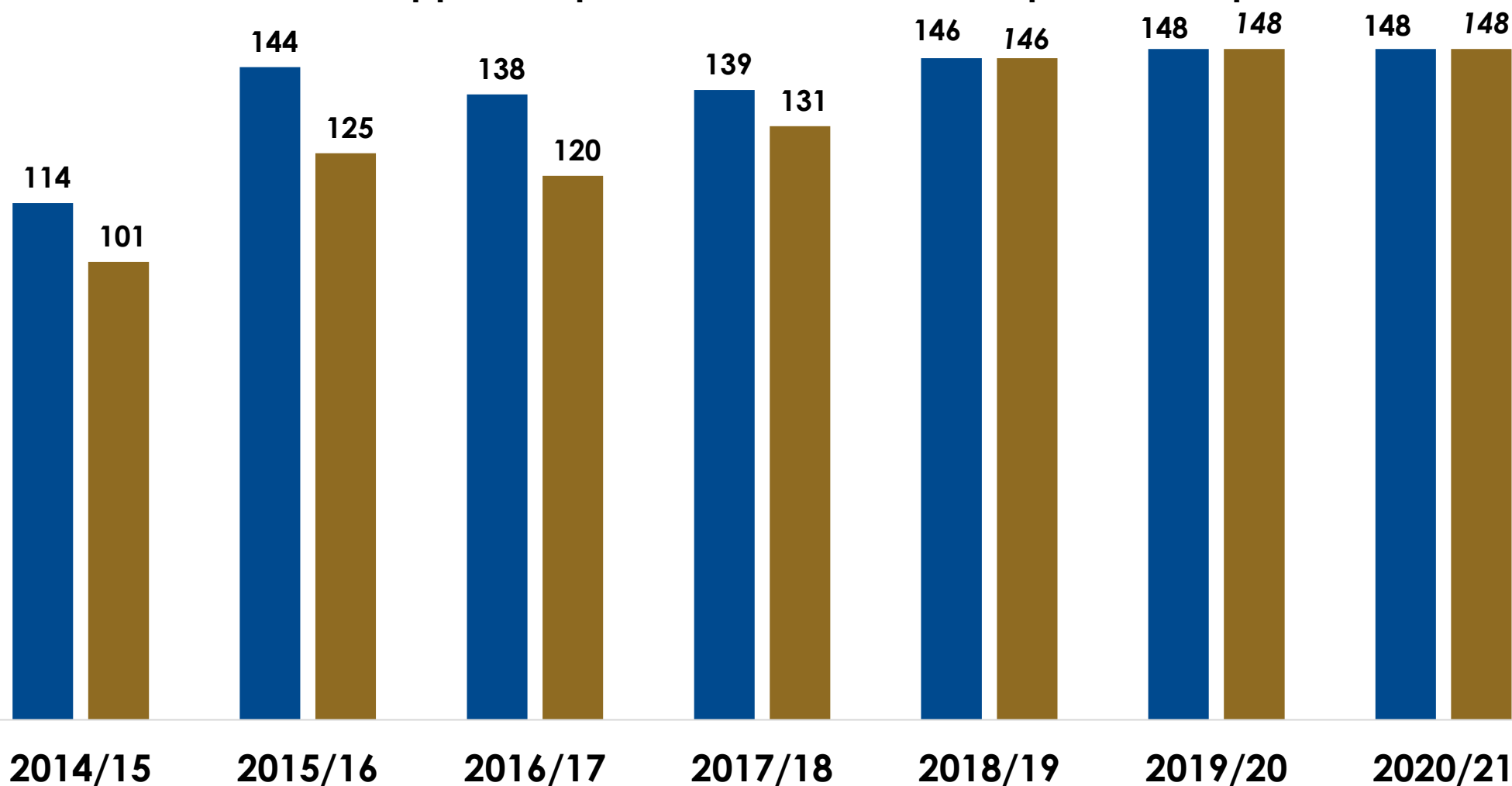
- 1. Administration
- 2.1 Qualifications, curriculum and certification
- 2.2 Statistical information and research
- 3.1 Quality assurance of assessment
- 3.2 Evaluation and accreditation



# Growth in human capital

■ Number of approved posts

■ Number of posts filled/planned



# Reportable matter – Background

- The tender regarding the renovation of Building 41 was awarded to a Joint Venture with three (3) separate entities in March 2017 for the value of R36 million.
- The project started in May 2017. Payments were made to the value of R10,9 million.
- Umalusi became aware of allegations of fraud regarding the submitted tender documents.
- One JV member reported the allegation pertaining to forgery of signature through his lawyers early November 2017.

# Reportable matter – Reporting

- Umalusi followed due process, with advice from legal counsel in terms of applicable legislation, but the providers did not respond to Umalusi's request for further information on the matter.
- Consequently, the contract was cancelled on 23 November 2017.
- The matter has since been reported to the following entities:
  - National Treasury in terms of the PPPFA Regulations;
  - The Department of Basic Education as it is material;
  - SAPS as a case of corruption in terms of the Prevention and Combating of Corrupt Activities Act.



# Reportable matter – Way forward

- Umalusi is in the process of instituting a civil claim against the Joint Venture.
- While pursuing the case Umalusi will initiate a new tender process for the renovation of Building 41.

# Controls for managing the APP & SCM

- Senior and Executive Managers have signed a pledge with the CEO to obtain a clean audit.
- Senior and Executive Managers have signed for the achievement of APP targets as suggested by the EXCO.
- Standard Operating Procedures are being developed.
- Performance outputs are verified on a quarterly basis through the QRM.
- Achievement of APP targets is part of the SMS members' Performance Agreements.
- Umalusi has strengthened its capacity by appointing a specialist on performance information in the Audit and Risk Committee.
- Supply Chain Management Policies updated to be aligned to legislation.

# THANK YOU

We would like to convey our sincere appreciation to our Council for their continued support.

Thank you very much to the Chairperson of the Portfolio Committee, Ms N Gina, and the Honourable members for this opportunity to present Umalusi's 2018/19 Annual Performance Plan.